Citation

Zeitlin GL: Recovery room mishaps in the ASA Closed Claims Study. *ASA Newsletter* 53(7):28-30, 1989.

Full Text

For the last four years the ASA Committee on Professional Liability has been conducting a study of malpractice claims against anesthesiologists. Reviewers, who are all anesthesiologists, complete a detailed 10 page questionnaire for each closed claim file on the premises of a variety of insurance companies. The findings are entered into a computerized database and in October, 1988 the database contained the information gleaned from 1,175 closed claims.

In 84 (7.1 percent) of the closed claims the incident that led to a malpractice suit developed in the recovery room. More than half of these (49) involved respiratory system critical incidents. A much smaller number (9) involved the cardiovascular system. Figure 1 displays the type and frequency of critical incidents involving the respiratory system in the recovery room together with comparable data from the entire Closed Claims database. Although the full database contains the recovery room incidents, one can see clearly the parallels between the respiratory mishaps in the recovery room and those in the operating room.

Although the number of incidents in the recovery room is much smaller, the proportion of serious outcomes is higher and three quarters of the patients either died of suffered brain damage. Table 1 compares the five most common recovery room mishaps with those in the full database.

The reviewers were asked to decide whether the monitoring equipment available today, including pulse oximeters and capnometers, might have prevented the complications. They judged in 39 percent of the cases that better monitoring would have prevented the complication. The comparable figure in the full database is 29 percent. As in the full database, the monitor most likely to have prevented the injury in the recovery room was a pulse oximeter.

Table 2 compares the payments made in those cases in which better monitoring would have prevented the mishap with those in which it would not. Apart from the human loss, the financial consequences can be clearly seen. The most striking finding is the high median payment in both the recovery room and full database cases in which better monitoring might have prevented the mishap.

The results to date indicate that pulse oximetry may play a significant role in prevention of recovery room mishaps.

Figure 1

Primary Respiratory System Incidents Comparison of Recovery Room and Entire Database



- Percentage of total respiratory critical incidents in the entire Closed Claims database. (n=1,175)
- Percentage of total respiratory critical incidents in the recovery room. (n=84)

Table 1

Most Common Outcomes of Recovery Room Mishaps Compared to Outcomes in the Total Database							
	Recovery Room		Full Database				
Complication	Cases	% of 84	Cases	% of 1175			
Death	49	58	421	36			
Brain Damage	15	18	244	21			
Cardiovascular Collapse	6	7	73	6			
Pulmonary Edema	5	6	19	2			
Prolonged Ventilatory Support	4	5	36	3			

Table 2

Summary of Payment Statistics for Recovery Room Mishaps and Entire Closed Claim Database Mishaps

Recovery Room							
	No. of Payments	Range	Median				
Overall (n=84)	49	\$750 -6Mil	\$100K				
Better Monitoring Would Have Prevented (n=33)	24	\$10K - 6Mil	\$325K				
Better Monitoring Would Not Have Prevented (n=47)	23	\$750-984K	\$17.5K				

Entire Database					
	No. of Payments	Range	Median		
Overall (n=1175)	647	\$15 - 6Mil	\$82.5K		
Better Monitoring Would Have Prevented (n=347)	261	\$1.5K - 6Mil	\$250K		
Better Monitoring Would Not Have Prevented (n=751)	351	\$15 - 5.4Mil	\$22.5K		

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